

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Jeffrey S. Bardsley; Ashley A. Brock; Charles K. Davis, III;
Nathaniel W. Kim; John J. McKenna; Carlos F. Villegas

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR
ADMINISTRATION OF COMPUTER SECURITY THREAT
COUNTERMEASURES TO A COMPUTER SYSTEM

July 22, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

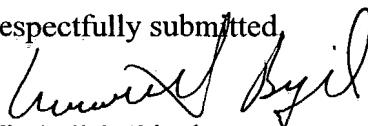
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to our Deposit Account No. 50-0629.

Respectfully submitted,


Mitchell S. Bigel

Registration No. 29,614

Correspondence Address:



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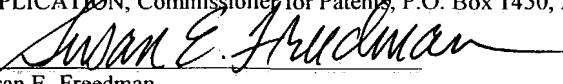
PATENT TRADEMARK OFFICE

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Susan E. Freedman

Date of Signature: July 22, 2003

Substitute form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Attorney Docket Number 5577-264

Complete if Known

Application Number	To Be Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Jeffrey S. Bardsley
Group Art Unit	
Examiner Name	

U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1.	2003/0009699	A1	Gupta et al.	01/09/2003	
	2.	2003/0004689	A1	Gupta et al.	01/02/2003	
	3.	2003/0004688	A1	Gupta et al.	01/02/2003	
	4.	2002/0178383	A1	Hrabik et al.	11/28/2002	
	5.	2002/0166063	A1	Lachman, III et al.	11/07/2002	
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	7.	6,408,391	B1	Huff et al.	06/18/2002	
	8.	2002/0026591	A1	Hartley et al.	02/28/2002	
	9.	2001/0027389	A1	Beverina et al.	10/04/2001	
	10.	6,185,689	B1	Todd, Sr. et al.	02/06/2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	11.	CA	2,316,005	A1	Securelogix Corporation	02/14/2002		
	12.	WO	00/70456	A1	Securelogix Corporation et al.	11/23/2000		
	13.	WO	98/06020	A2	Dieter Bartmann	02/12/1998		

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	14.	<i>About the VulnXML Project</i> , The Open Web Application Security Project, http://www.owasp.org/vulnxml/ , 2001-2002, 2 pp.	
	15.	Carnegie Mellon Software Engineering Institute, CERT®/CC: Computer Security Incident Response Team FAQ, http://www.cert.org/csirts/csirt_faq.html , 2002-2003, 9 pp.	
	16.	<i>Citadel Hercules Automated Vulnerability Remediation Product Brochure</i> , Citadel Security Software Inc., 2003	
	17.	<i>CVE Common Vulnerabilities and Exposure (CVE) - MITRE Develops Valuable Resource for Sharing Vulnerability Information</i> , http://www.mitre.org/news/digest/archives/2000/vulnerability_info.html , 2000, 3 pp.	
	18.	<i>Enabling Enterprise Security with CVE</i> , http://www.mitre.org/news/digest/archives/2001/enterprise_security.html , 2001, 5 pp.	
	19.	Ghosh et al., <i>Inoculating Software for Survivability</i> , Communications of the ACM, Vol. 42, No. 7, July 1999, pp. 38-44	
	20.	GSA Federal Technology Service, <i>Federal Computer Incident Response Center PADC (Patch Authentication and Dissemination Capability)</i> , Smarter Solutions, Publication No. E-COTE0-03-0002	
	21.	I2Biscom, <i>Network Security</i> , http://www.b2biscom.it/cms/Generic.jsp?idPage=458 , 2003, 1 p.	
	22.	IBM, <i>Internet Data Center Value-Add Feature: Certifiable High-Speed RAM Web Servers</i> , Research Disclosure, #454147, February 2002, p. 313	
	23.	IBM, <i>Remote-Controlled Write-Lock in Hard Disk (HD) Controllers</i> , Research Disclosure, #446114, June 2001, p. 1001	
	24.	<i>ICAT Metabase Documentation</i> , http://icat.nist.gov/icat_documentation.htm , 5pp.	
	25.	<i>ICAT Metabase: A CVE Based Vulnerability Database</i> , http://icat.nist.gov/icat.cfm , 2000-2002, 2 pp.	
	26.	Martin, <i>Managing Vulnerabilities in Your Networked Systems Using an Industry Standards Effort</i> , NDIA Federal Database Colloquium & Exposition, October 25, 2001, 2 pp., Abstract at http://www.mitre.org/work/tech_papers/tech_papers_01/martin_1vulner/index.html	
	27.	MITRE, Press Release, <i>MITRE Announces New Standard for Computer Vulnerability Assessment</i> , http://www.mitre.org/news/releases/02/oval12_10_02.html , 2002, 2 pp	
	28.	Myerson, <i>Identifying Enterprise Network Vulnerabilities</i> , International Journal of Network Management, Vol. 12, 2002, pp. 135-144	
	29.	<i>OASIS Members Collaborate to Address Security Vulnerabilities for Web Services and Web Applications</i> , http://www.oasis-open.org/news/oasis_news_04_14_03a.php , April 14, 2003	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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STATEMENT BY APPLICANT**

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Sheet 2 of 2

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First Named Inventor	Jeffrey S. Bardsley
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OTHER NON PATENT LITERATURE DOCUMENTS

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	30.	OWASP, <i>Introducing the OWASP Top Ten - Top Vulnerabilities in Web Applications</i> , The Open Web Application Security Project, http://www.owasp.org/ , 2001-2002, 2 pp.	
	31.	SANS Institute, <i>About the SANS Institute</i> , http://www.sans.org/aboutsans.php , 2002-2003, 4 pp.	
	32.	Tasker et al., <i>CVE Continues to Grow</i> , The Edge, February 2001, http://www.mitre.org/news/the_edge/february_01/tasker.html , 2 pp.	
	33.	<i>VulnXML Proof of Concept Vision Document, Version 1</i> , The Open Web Application Security Project, 2002, 7 pp.	

Examiner Signature

Date Considered

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